

For the month ended (dd/mm/yyyy):

Monthly Return of Equity Issuer on Movements in Securities

To: Hong Kong Exchanges and Clear	ring Limited		
Name of Issuer China Date Submitted 11/02	Harmony New Energy /2019	Auto Holding	Limited
I. Movements in Authorised Share Ca	pital		
1. Ordinary Shares			
(1) Stock code: 3836 Desc	eription: <u>HARMO</u>	NY AUTO	
	No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month	2,000,000,000	HK\$0.01	HK\$20,000,000.00
Increase/(decrease)	Nil		Nil
Balance at close of the month	2,000,000,000	HK\$0.01	HK\$20,000,000.00
(2) Stock code : N/A Desc	eription:	N/A	<u> </u>
	No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month			
Increase/(decrease)			
Balance at close of the month			

31/01/2019

Stock code :	N/A	Descri	ption :	N/A	L
			No. of preference shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of p	receding r	nonth			
Increase/(decrease)					
Balance at close of the	he month				
3. Other Classes of S	Shares				
Stock code :	N/A	Descri	ption:	N/A	
			No. of other classes of shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of p	receding r	nonth			
Increase/(decrease)					
Increase/(decrease) Balance at close of the second	he month				

II. Movements in Issued Share Capital

	No. of ordina	ary shares	No of preference	No. of other classes
	(1)	(2)	shares	of shares
Balance at close of preceding month	1,527,115,677	N/A	N/A	N/A
Increase/ (decrease) during the month	NIL	N/A	N/A	N/A
Balance at close of the month	1,527,115,677	N/A	N/A	N/A

III. Details of Movements in Issued Share Capital

Particulars of share option scheme					No. of new shares of issuer issued during	No. of new shares of issuer which may be
including EGM approval date	Movement during the month				the month pursuant thereto	issued pursuant thereto as at close of the month
(dd/mm/yyyy) and					-	us at close of the month
class of shares						
issuable	Granted	Exercised	*			
1. Exercise Price:	Nil	Nil	Nil	300,000	Nil	31,853,500
HK\$3.00						
(26/06/2015)						
Ordinary shares						
(Note 1)						
2. Exercise Price:	Nil	Nil	Nil	Nil	Nil	9,300,000
HK\$4.80						
(26/06/2015)						
Ordinary shares						
(Note 1)						
3. N/A						
(/ /)						
(Note 1)						
(Note 1)			Total A. (Ord	inary shares)	NIL	
			(Prefe	rence shares)	N/A	
				(Other class)	N/A	
Total funds raised dur options (State currenc		from exercise	of NIL			

Warrants to Issue Shares of the Issuer which are to be Listed No. of new No. of new shares of shares of issuer issued issuer which may be issued during the month pursuant pursuant thereto as at Currency of Nominal value at Exercised Nominal value thereto close of the Description of warrants nominal close of during the at close of the month (Date of expiry - dd/mm/yyyy) value preceding month month month 1. N/A (/ / Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 2. N/A Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 3. N/A Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 4. N/A Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) (/ /) Total B. (Ordinary shares) N/A (Preference shares) N/A (Other class) N/A

Convertibles (i.e. Convertible i	Currency of amount	Amount at close of preceding	Converted during the	Amount at close of the	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
Class and description 1. N/A	outstanding	month	month	month		
1. N/A	<u> </u>					
	<u></u>					
Continue to CCC and						
Stock code (if listed) Class of shares						
issuable (Note 1)						
Subscription price						
EGM approval date		,				
(if applicable)						
(dd/mm/yyyy)	(/ /)					
2. N/A						
						
Stock code (if listed)	_					
Class of shares						
issuable (Note 1)						
Subscription price						
EGM approval date						
(if applicable)						
(dd/mm/yyyy)	(/ /)					
3. N/A	<u>—</u>					
Stock code (if listed)						
Class of shares issuable (<i>Note 1</i>)						
Subscription price						
EGM approval date						
(if applicable)						
(dd/mm/yyyy)	(/ /)					
4. N/A						
						
	<u> </u>					
Stock code (if listed)						-
Class of shares						
issuable (Note 1)						
Subscription price						
EGM approval date						
(if applicable)						
(dd/mm/yyyy)	(/ /)		T-4-1 C /O !!			
				nary shares) <u>N/A</u> ence shares) <u>N/A</u>		<u>-</u>
				once snares) <u>N/A</u> Other class) N/A		-
			(1	Outer Class) 11/A	<u> </u>	-

Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

Full J	particulars including EGM approval date (dd/mm/yyyy), blicable, and class of shares issuable:	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
——————————————————————————————————————	(/ /) shares (Note 1)		
21	N/A (/ /)		
3. 1	shares (Note 1) N/A		
	Total D. (Ordinary shares) (Preference shares)	N/A N/A	
	(Other class)	N/A	

Ot	her Movements i	in Issued Share	Capital					
	Type of Issue						No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
				Class of shares issuable (Note 1)				
1.	Rights issue	At price :	State currency		(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)	N/4	N/A
							N/A	N/A
				Class of shares issuable (Note 1)				
2.	Open offer	At price :	State currency	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)		
							N/A	N/A
				Class of shares issuable (Note 1)				
3.	Placing	At price :	State currency —		(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)		
							N/A	N/A
				Class of shares issuable				
				(Note 1)				
4.	Bonus issue			Issue and allotment date : (dd/mm/yyyy)	(/ /			
				EGM approval date: (dd/mm/yyyy)	(/ /)	NI/A	NI/A
							N/A	N/A

	Type of Issue					No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
			Class of shares issuable (Note 1)				
5.	Scrip dividend	At price : State currency —	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)	N/A	N/A
			Class of shares repurchased (Note 1)				
6.	Repurchase of shares		Cancellation date : (dd/mm/yyyy)	(/ /)		
			AGM approval date: (dd/mm/yyyy)	(/ /)		
						N/A	N/A
			Class of shares redeemed (<i>Note 1</i>)				
7.	Redemption of shares		Redemption date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
			, , , , , , , , , , , , , , , , , , , ,			N/A	N/A
			Class of shares issuable (Note 1)				
8.	Consideration issue	At price : State currency	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
			V			N/A	N/A

	Type of Issue					No. of new hares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
			Class of shares issuable (Note 1)				
9.	Capital reorganisation		Issue and allotment date: (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)	N/A	N/A
			Class of shares issuable (Note 1)		_		
10.	Other (Please specify)	At price : State currency ——	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)	N/A	N/A
				Ordinary sl reference sl (Other	hares)	N/A	- - -

Total increase / (decrease) in ordinary shares during the month (i.e. Total of A to E):	(1)	NIL
	(2)	N/A
Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):		N/A
Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):		N/A
(These figures should be the same as the relevant figures under II above ("Movements in Iss	ued Sh	are Capital").)

Remarks (if any):
N/A
-
Submitted by: Tricor Investor Services Limited
Title: Hong Kong Share Registrar
(Director, Secretary or other duly authorised officer)
Notes:

State the class of shares (e.g. ordinary, preference or other).

If there is insufficient space, please append the prescribed continuation sheet.

1.

2.